

Enantioselective [4 + 1] Annulation Reactions of α -Substituted Ammonium Ylides To Construct Spirocyclic Oxindoles

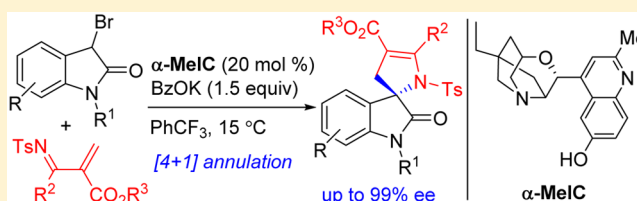
Peng-Fei Zheng,^{†,§} Qin Ouyang,^{†,§} Sheng-Li Niu,[†] Li Shuai,[†] Yi Yuan,[†] Kun Jiang,[†] Tian-Yu Liu,^{*,†} and Ying-Chun Chen^{*,†,‡}

[†]College of Pharmacy, Third Military Medical University, Chongqing 400038, China

[‡]Key Laboratory of Drug-Targeting and Drug Delivery System of the Ministry of Education, West China School of Pharmacy, and State Key Laboratory of Biotherapy, West China Hospital, Sichuan University, Chengdu 610041, China

S Supporting Information

ABSTRACT: Ammonium ylides have a long history in organic synthesis, but their application in asymmetric catalysis is still underdeveloped in regard to both substrate scope and reaction pathways compared with phosphorus and sulfur ylides. Here a previously unreported asymmetric [4 + 1] annulation reaction of 3-bromooxindoles and electron-deficient 1-azadienes has been developed through ammonium ylide catalysis of a newly designed 2'-methyl α -isocupreine (α -MeIC), efficiently delivering spirocyclic oxindole compounds incorporating a dihydropyrrole motif in excellent enantioselectivity (up to 99% ee). To the best of our knowledge, this work represents the first example of asymmetric catalysis of ammonium ylides bearing α -substitutions, and the catalytic [4 + 1] annulation pathway of ammonium ylides is also unprecedented. Moreover, ¹H NMR, mass spectroscopy, and computational calculation studies were conducted, and the catalytic cycle and a tentative explanation of the enantioselective mechanism have been successfully elucidated.



INTRODUCTION

The catalytic functionalization of a carbonyl compound in an enantioselective manner is a fundamental process in asymmetric synthesis and continues to trigger interest even in modern organic chemistry. While significant progress has been made by utilizing previously modified Mukaiyama enolate silyl ethers,¹ recent studies, especially in the upcoming organocatalytic field, have revealed that direct enantioselective α -functionalization of aldehydes or ketones can be efficiently realized by in situ generation of enamine species with a chiral secondary or primary amine. By employing the principle of vinylogy, such an enamine activation strategy can be expanded to the remote γ - or even ε -site of unsaturated carbonyl compounds.² In addition, the direct application of carboxylic acids or esters in asymmetric catalysis has been successfully established through the formation of acyl ammoniums³ or acyl NHC (*N*-heterocyclic carbene) intermediates⁴ followed by the isomerization to the corresponding enolates. Nevertheless, the above-mentioned organocatalytic protocols are inapplicable to other types of carbonyl derivatives, such as amides; thus, an alternative method must be adopted.

α -Halo carbonyl compounds, including amides, can form stabilized nucleophilic ylides with a sulfide, tertiary phosphine, or amine in the presence of a base, which provides an effective strategy for the development of diverse annulation or addition reactions, especially stereoselectively. In comparison with the extensively explored phosphorus or sulfur ylides,⁵ the asymmetric reactions mediated by ammonium ylides, however, have been minimally investigated.⁶ While very limited examples were presented in asymmetric epoxidation or aziridination reactions

with simple aryl aldehydes or aldimines, respectively,⁷ the Gaunt group made a significant breakthrough in asymmetric cyclopropanation reactions with ammonium ylides either in an inter- or intramolecular pattern.⁸ Unfortunately, almost no further development has been reported in the field of ammonium ylides. Very recently, Jubault and co-workers presented the synthesis of racemic fluorinated cyclopropanes by combining α -bromo- α -fluoroacetamides and activated alkenes in the presence of an equivalent amount of DABCO, but without any asymmetric investigation.⁹ Thus, the potential application of more complex α -substituted α -halogenated precursors, which would construct a challenging quaternary chiral center, has not succeeded. On the other hand, the development of new annulation modes with ammonium ylides other than the [2 + 1] pathway is also in high demand.

In response to such unexplored problems in the catalysis with ammonium ylides, we describe here a highly enantioselective [4 + 1] annulation reaction¹⁰ of 3-bromooxindoles and electron-deficient 1-azadienes catalyzed by a newly developed cinchona alkaloid derivative, to efficiently construct chiral 3,2'-pyrrolidinylspirooxindole skeletons, which are ubiquitous in a number of biologically important compounds (Figure 1)¹¹ but with relatively few asymmetric catalytic strategies¹² to access in comparison with 3,3'-pyrrolidinylspirooxindoles.¹³ Moreover, a comprehensive catalytic mechanism survey, including ¹H NMR, mass spectroscopy study, and computational calculations, has

Received: May 8, 2015

Published: July 7, 2015

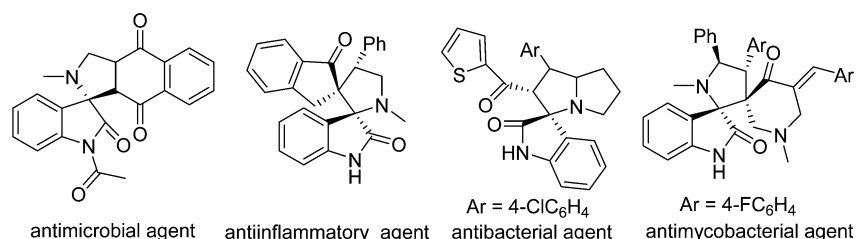
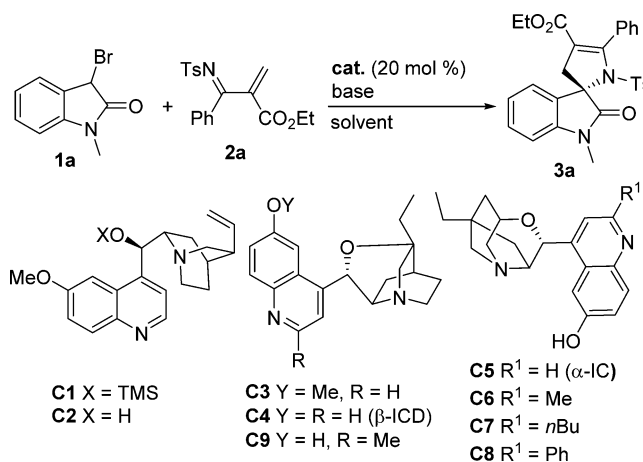


Figure 1. Representative bioactive spirocyclic oxindoles incorporating a pyrrolidine motif.

Table 1. Screening Conditions for [4 + 1] Annulation Reaction Involving 3-Bromooxindole **1a** and 1-Azadiene **2a**^a



entry	cat.	base	solvent	<i>T</i> (°C)	<i>t</i> (h)	yield ^b (%)	ee ^c (%)
1	/	Cs ₂ CO ₃	CH ₃ CN	15	13	10 ^d	/
2	/	DIPEA	CH ₃ CN	15	13	15 ^d	/
3	DABCO	Cs ₂ CO ₃	CH ₃ CN	15	16	75	/
4	C1	Cs ₂ CO ₃	CH ₃ CN	15	24	30	15
5	C1	KHCO ₃	CH ₃ CN	15	48	50	30
6	C2	KHCO ₃	CH ₃ CN	15	48	47	24
7	C3	KHCO ₃	CH ₃ CN	15	48	43	44
8	C4	KHCO ₃	CH ₃ CN	15	48	50	67
9	C5	KHCO ₃	CH ₃ CN	15	48	57	58
10	C5	AcOK	CH ₃ CN	15	48	60	60
11	C5	AcOK	toluene	15	48	62	65
12	C5	AcOK	PhCF ₃	15	48	63	71
13	C5	AcOK	PhCF ₃	35	8	76	80
14	C5	AcONa	PhCF ₃	35	10	67	74
15	C5	<i>t</i> -BuCO ₂ K	PhCF ₃	35	8	70	70
16	C5	BzOK	PhCF ₃	35	8	68	83
17	C5	BzOK	PhCF ₃	15	48	68	89
18	C4	BzOK	PhCF ₃	15	52	69	57
19 ^e	C5	BzOK	PhCF ₃	15	48	71	93
20 ^{e,f}	C5	BzOK	PhCF ₃	15	48	73	95
21 ^{e,f}	C6	BzOK	PhCF ₃	15	48	75	98
22 ^{e,f}	C7	BzOK	PhCF ₃	15	48	60	73
23 ^{e,f}	C8	BzOK	PhCF ₃	15	120	40	63
24 ^{e,f}	C9	BzOK	PhCF ₃	15	48	65	63
25 ^{e,f,g}	C6	BzOK	PhCF ₃	15	48	60	97

^aUnless noted otherwise, the reaction was performed with 0.05 mmol of **1a**, 0.05 mmol of **2a**, 20 mol % of catalyst, and 0.05 mol of base in 0.5 mL of solvent. ^bIsolated yield. ^cBy chiral HPLC analysis. ^dBy ¹H NMR analysis using Cl₂CHCHCl₂ as the internal standard. ^eReaction was performed with 0.075 mmol of **1a**, 0.05 mmol of **2a**, 20 mol % of catalyst, and 0.075 mol of base in 1.0 mL of solvent. ^f1-Azadiene **2a** was added in three portions. ^gWith 10 mol % of C6.

been conducted to elucidate the unprecedented annulation process of ammonium ylides with α -substitutions.

RESULTS AND DISCUSSION

Enantioselective [4 + 1] Annulation Survey. We initialized our investigation using *N*-methyl 3-bromooxindole¹⁴ **1a** and

1-azadiene 2-[phenyl(*p*-tosylimino)methyl]acrylate¹⁵ **2a** as the model substrates. It was found that the apparent conversion (75%) of the starting materials was observed with the inorganic base Cs₂CO₃ in acetonitrile at 15 °C for 13 h, but the desired [4 + 1] annulation product was detected in low yield, along with a few unidentified products (Table 1, entry 1). Using a

Table 2. Substrate Scope of Enantioselective [4 + 1] Annulation Reaction^a

entry	R	R ¹	R ²	R ³	t (h)	yield ^b (%)	ee ^c (%)
1	H	Me	Ph	CO ₂ Et	48	3a , 75	98 ^d
2 ^e	H	Bn	Ph	CO ₂ Et	52	3b , 54	90
3	H	Ph	Ph	CO ₂ Et	48	3c , 60	90
4	5-Cl	Me	Ph	CO ₂ Et	60	3d , 71	89
5	6-Cl	Me	Ph	CO ₂ Et	48	3e , 61	87
6	7-F	Me	Ph	CO ₂ Et	48	3f , 62	82
7	5-Me	Me	Ph	CO ₂ Et	48	3g , 70	99
8	5-MeO	Me	Ph	CO ₂ Et	48	3h , 66	96
9	5,7-(Me) ₂	Me	Ph	CO ₂ Et	48	3i , 74	99
10	H	Me	Ph	CO ₂ Me	53	3j , 74	99
11 ^e	H	Me	Ph	CO ₂ <i>t</i> Bu	56	3k , 67	93
12	H	Me	Ph	Ph	48	/	/
13	H	Me	4-FC ₆ H ₄	CO ₂ Et	36	3l , 73	96
14	H	Me	3-ClC ₆ H ₄	CO ₂ Et	60	3m , 63	97
15	H	Me	4-ClC ₆ H ₄	CO ₂ Et	52	3n , 70	93
16	H	Me	4-BrC ₆ H ₄	CO ₂ Et	50	3o , 67	96
17	H	Me	3-MeC ₆ H ₄	CO ₂ Et	60	3p , 66	95
18	H	Me	4-MeC ₆ H ₄	CO ₂ Et	50	3q , 73	97
19	H	Me	4-MeOC ₆ H ₄	CO ₂ Et	48	3r , 64	97
20	H	Me		CO ₂ Et	60	3s , 73	97
21	H	Me	3,5-(MeO) ₂ C ₆ H ₃	CO ₂ Et	48	3t , 74	99
22	H	Me	2-naphthyl	CO ₂ Me	56	3u , 73	92
23	H	Me	2-furyl	CO ₂ Et	60	3v , 55	93
24	H	Me	2-thienyl	CO ₂ Et	60	3w , 60	93
25	H	Me	<i>t</i> Bu	CO ₂ Et	48	/	/
26 ^f	H	Me	Ph	CO ₂ Et	50	3x , 65	90

^aUnless noted otherwise, reaction was performed with 0.15 mmol of **1**, 0.1 mmol of **2**, 20 mol % of catalyst **C6**, and 0.15 mol of BzOK in 2.0 mL PhCF₃, while 1-azadiene **2** was added in three portions. ^bIsolated yield. ^cBy chiral HPLC analysis. ^dThe absolute configuration of chiral **3a** was determined by X-ray analysis. The other products were assigned by analogy. ^eCatalyst **C5** was used. ^f*N*-Ns imine substrate was used.

non-nucleophilic organic base DIPEA gave a slightly better yield (15%) but with poorer conversion (34%, entry 2). In contrast, racemic **3a** was isolated in 75% yield under the

catalysis of DABCO in the presence of Cs₂CO₃ (entry 3).^{10m,n} These experiments suggested that this reaction could proceed more efficiently via an ammonium ylide intermediate than a

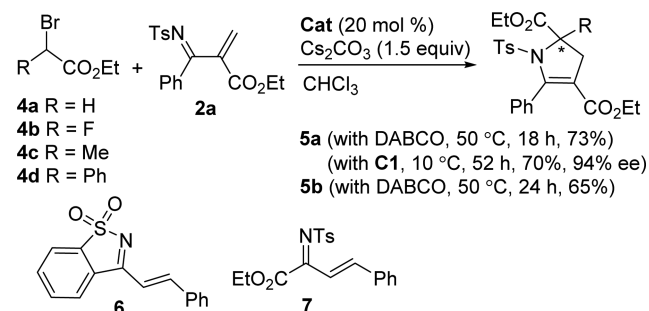
base-promoted domino deprotonation, Michael-type addition, and intramolecular substitution sequence.^{14a} Consequently, we conducted the potential asymmetric version by using cinchona alkaloid-based catalysts. *O*-TMS quinine **C1** exhibited low catalytic activity, and the enantioselectivity was very disappointing (entry 4), while better data were obtained by using a weaker base KHCO_3 (entry 5). Quinine **C2** delivered poor results too (entry 6). A higher ee value was attained with *O*-Me β -isocupreidine (β -ICD) **C3** (entry 7), while both yield and enantiocontrol were significantly improved by using β -ICD **C4**, indicating that the hydrogen-bonding interaction of the OH group is beneficial for the enantioselectivity (entry 8). Although α -isocupreine (α -IC) **C5** is generally considered as an enantiocomplementary catalyst of β -ICD **C4**,¹⁶ it was surprising that two different chiral catalysts gave the same enantiomer of the product (entry 9).¹⁷ As a cleaner reaction was observed with **C5**, we explored more catalytic parameters with amine **C5** in order to improve the catalytic efficacy. Replacing KHCO_3 with AcOK gave a better ee value (entry 10), and the data could be further improved in toluene (entry 11) or PhCF_3 (entry 12). It was interesting that a better yield and enantioselectivity could be attained at a higher temperature of 35 °C (entry 13). Subsequently, we screened a variety of base components in PhCF_3 (entries 14–16) and found that 83% ee was produced by using PhCO_2K (entry 16), while the enantioselectivity was further improved to 89% ee at 15 °C, though the reaction time had to be dramatically extended (entry 17). In comparison, we conducted the catalytic reaction with β -ICD **C4** under the same conditions, but it only afforded a moderate ee value (entry 18). In addition, using excess ylide precursor **1a** and base could slightly improve both yield and enantioselectivity by the catalysis of **C5** (entry 19), and gratifyingly, excellent enantiocontrol (95% ee) was achieved by adding 1-azadiene **2a** in three portions (entry 20). Moreover, considering that the quinoline nitrogen atom of **C5** might affect the chiral ammonium ylide catalysis,^{8d} we designed and prepared a new 2'-methylated α -IC derivative (α -MeIC) **C6** and pleasingly found that a slightly higher yield and enantioselectivity could be obtained under the optimized conditions (entry 21). Nevertheless, amine **C7** and **C8** with larger 2'-substitutions¹⁸ produced poorer data (entries 22 and 23). We also used β -MeICD derivative **C9** but still obtained inferior results (entry 24). Finally, it was found that the reaction proceeded smoothly with retained enantioselectivity by using 10 mol % of catalyst **C6**, albeit with a lower yield (entry 25).

Reaction Scope of Enantioselective [4 + 1] Annulation Reaction. After optimizing the catalytic conditions, we investigated the reactions with a variety of 3-bromooxindoles **1** and 1-azadienes **2** in the presence of the tertiary amine **C6** (20 mol %) and BzOK in PhCF_3 at 15 °C. The results are summarized in Table 2. At first, 3-bromooxindoles **1** with diverse substitutions were explored. Both yield and enantioselectivity were slightly decreased when *N*-benzyl or *N*-phenyl substituted precursors were applied (Table 2, entries 2 and 3).¹⁹ Electron-withdrawing groups on the aryl ring also have some detrimental effects on the enantiocontrol, while over 80% ee values could be obtained (entries 4–6). In contrast, excellent enantioselectivity was produced by employing 3-bromooxindoles with electron-donating substitutions (entries 7–9). On the other hand, the substitution patterns of electrophilic partners were tested. Acrylates with either a methyl or bulky *tert*-butyl group smoothly gave the [4 + 1] products in outstanding enantioselectivity (entries 10 and 11), but replacing the ester moiety with a phenyl group completely killed the reaction due to the decreased

electrophilicity (entry 12). Pleasingly, excellent ee values were attained for 1-azadienes with diversely substituted aryl or heteroaryl groups, and the yields were generally moderate to good (entries 13–24). 1-Azadiene with a 2-*tert*-butyl group could be prepared, but exhibited inert reactivity (entry 25). In addition, an *N*-*N*s substituted electrophile also provided good data in reaction with 3-bromooxindole **1a** (entry 26).

Apart from cyclic ammonium ylide precursors such as **1**, we also explored some acyclic α -bromo substituted esters. As outlined in Scheme 1, simple 2-bromoacetate **4a** exhibited the

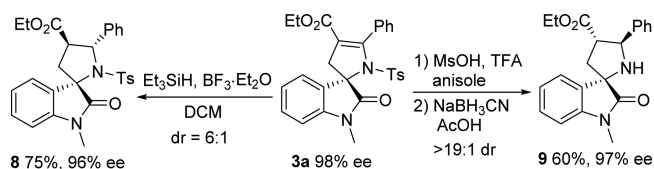
Scheme 1. Exploration of More Ammonium Ylide Precursors and 1-Azadienes



same [4 + 1] annulation reaction pattern with 1-azadiene **2a** catalyzed by DABCO in the presence of a stronger base Cs_2CO_3 . Importantly, the enantioselective version could be smoothly realized by employing amine **C1** as the inducer, affording product **5a** with 94% ee and in 70% yield. α -Bromo- α -fluoroacetate⁹ **4b** also showed good reactivity to produce 2-fluoro-substituted dihydropyrrole **5b** catalyzed by DABCO; unfortunately, the enantioselective attempts were not successful when a number of catalysts illustrated in Table 1 were tested. In addition, other α -bromoesters with α -substitution, such as **4c** and **4d**, and the previously reported β -substituted 1-azadienes **6** and **7**,²⁰ could not be applied in the [4 + 1] annulation reactions, probably due to the steric hindrance and the relatively lower reactivity.

The resultant [4 + 1] annulation product could be applied to some synthetic transformations. The enamide functionality of **3a** could be efficiently reduced by Et_3SiH and $\text{BF}_3 \cdot \text{Et}_2\text{O}$ in good diastereoselectivity, delivering separable *N*-Ts protected pyrrolidine derivative **8** in a good yield (Scheme 2). Furthermore, the

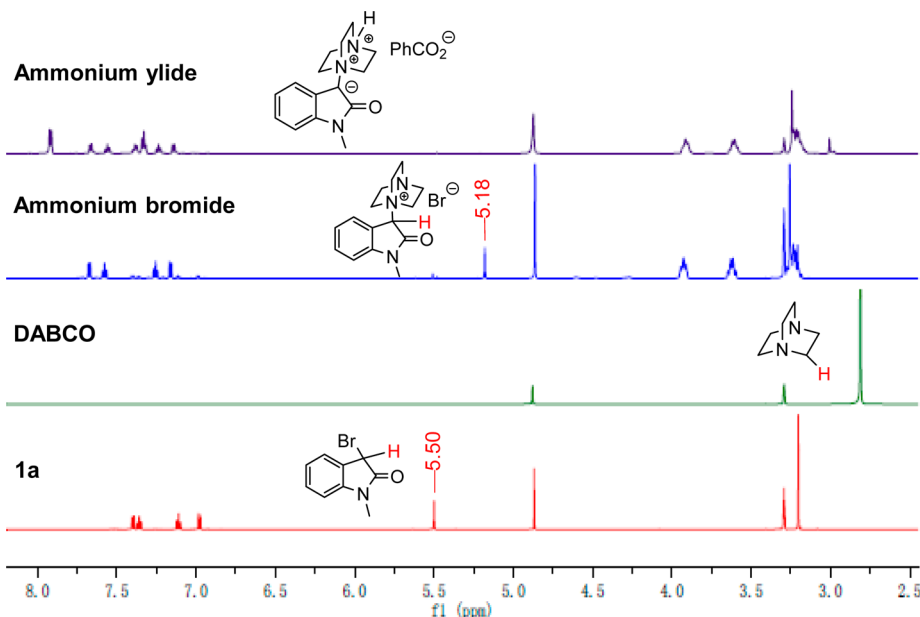
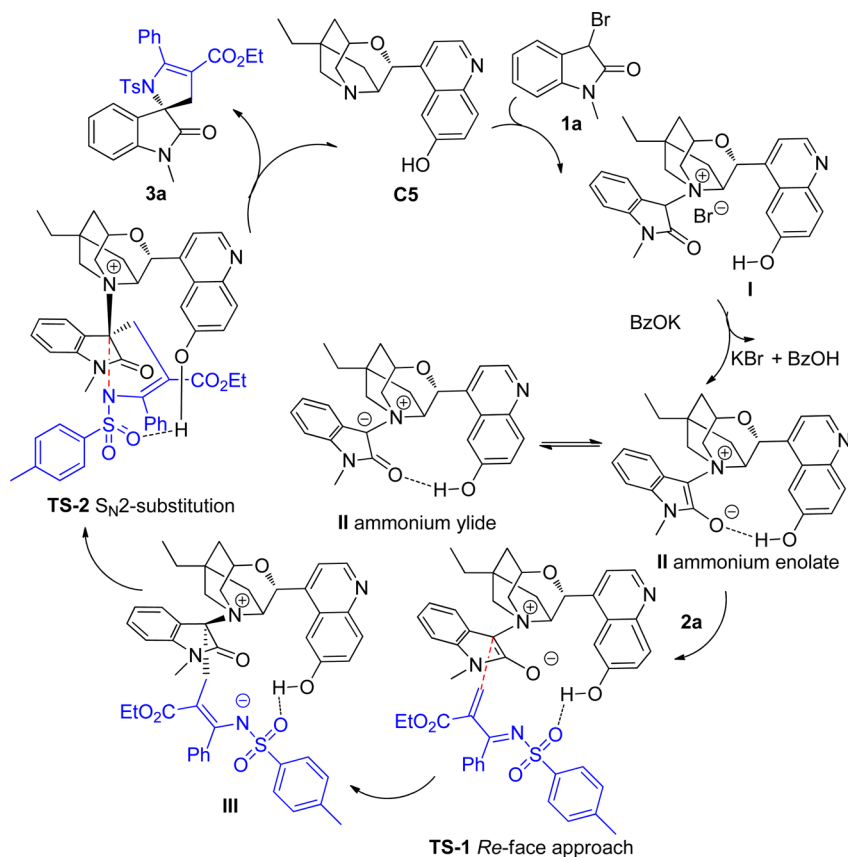
Scheme 2. Transformations of Spirocyclic Product 3a



N-Ts group of **3a** could be smoothly removed using MsOH , TFA, and anisole, and a free pyrrolidine compound **9**, which might be more useful in organic synthesis and medicinal chemistry, could be obtained after reduction with NaBH_3CN in AcOH , interestingly with different diastereoselectivity.

Proposed Catalytic Mechanism and Observation of Key Intermediates. Based on the early experimental studies that the inorganic base and non-nucleophilic organic base such as DIPEA could not efficiently promote the [4 + 1] annulation reaction of 3-bromooxindole **1a** and 1-azadiene **2a**, we proposed a plausible reaction cycle via ammonium ylide catalysis of α -IC **C5**.

Scheme 3. Proposed Catalytic Mechanism of [4 + 1] Annulation Reaction

Figure 2. ¹H NMR studies on the formation of ammonium ylide from 1a and DABCO.

As outlined in Scheme 3, C5 first reacts with 3-bromooxindole 1a to generate ammonium salt I and subsequently produces nucleophilic ammonium ylide or enolate intermediate II after deprotonation. The key *Re*-face selective Michael-type addition to electrophilic 1-azadiene 2a would proceed in a concerted activation mode, via hydrogen-bonding interaction of the OH group of C5 as illustrated in TS-1, delivering zwitterionic intermediate III. Finally, an intramolecular S_N2-substitution of

sulfonamide anion via TS-2 would generate the desired chiral spirocycle 3a with (*S*)-absolute configuration.

Subsequently, the ¹H NMR studies on an equimolar mixture of 3-bromooxindole 1a and DABCO were conducted. It was found that the corresponding ammonium bromide was easily generated and precipitated from CDCl₃; thus we obtained the ¹H NMR spectra of 1a, DABCO, and ammonium bromide, respectively, in CD₃OD. As illustrated in Figure 2, the clear

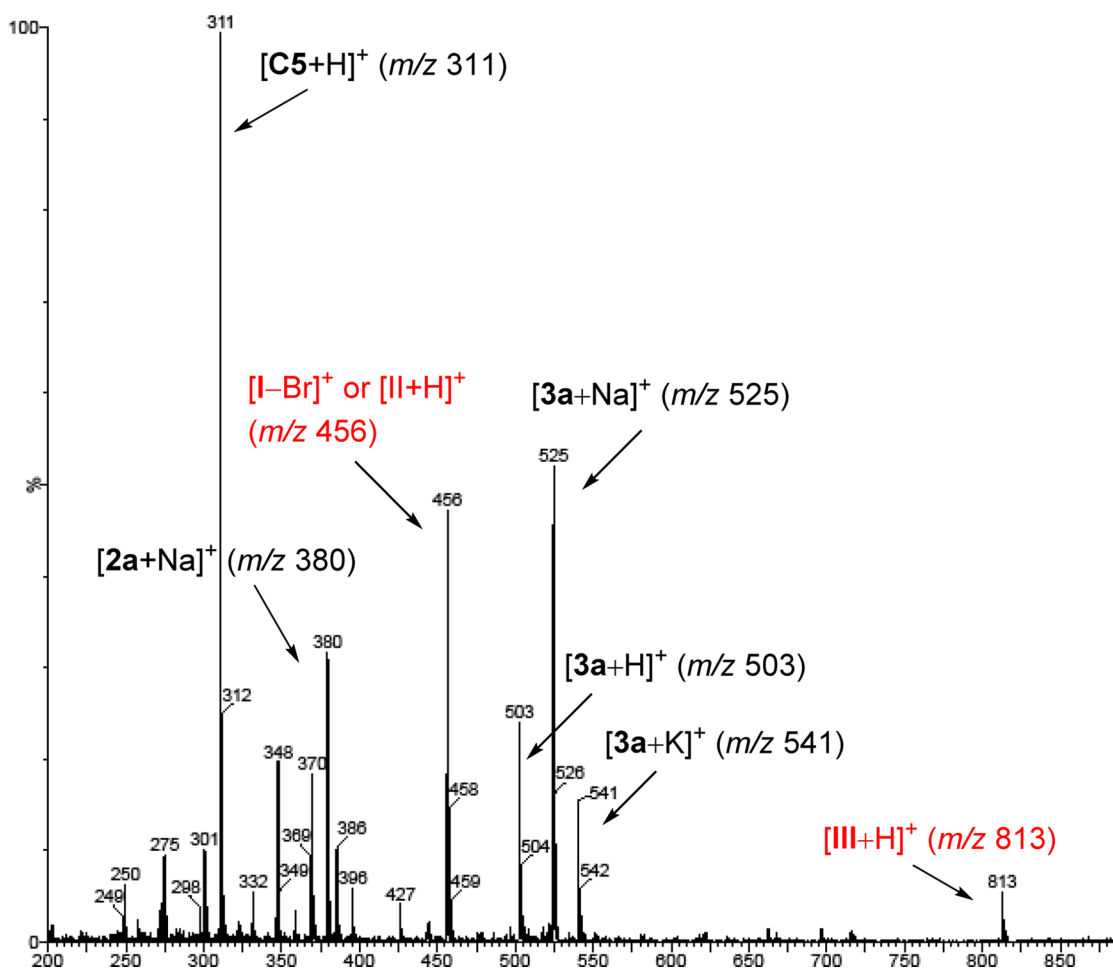


Figure 3. Mass spectroscopy study on the catalytic reaction mixture of **1a**, **2a**, and **C5**.

formation of ammonium bromide from **1a** and DABCO was observed, and the C3 proton signal of **1a** shifted from 5.50 to 5.18 ppm. In addition, the signals of DABCO were also apparently split. Importantly, the subsequent treatment with BzOK led to the clean formation of the corresponding ammonium ylide intermediate, in which the C3 proton signal at 5.18 ppm completely disappeared.

In order to gain more insight into the catalytic mechanism, we also conducted a mass spectroscopy study on the **C5**-catalyzed reaction. As shown in Figure 3, we smoothly detected the ammonium cation of salt **I** or ammonium ylide **II** ($[\text{I} - \text{Br}]^+$ or $[\text{II} + \text{H}]^+$ m/z 456).²¹ Importantly, we also successfully observed the key zwitterionic intermediate **III** ($[\text{III} + \text{H}]^+$ m/z 813), which demonstrated that the current $[4 + 1]$ annulation reaction would proceed via an ammonium ylide or enolate pathway.²²

Computational Calculations To Rationalize the Enantioselectivity. On the other hand, we further conducted comprehensive computational calculations to elucidate the realization of an enantioselective addition and a subsequent annulation reaction. According to the initial computational explorations on the conformation of 1-azadiene **2a**, the formation of ammonium ylide, and approach of Michael-type addition,²³ in addition to previous reports of a *transoid* approach²⁴ between ammonium enolate and *N*-Ts imino group being considered as a more favorable state due to the steric hindrance from amine **C5**, both *Re*-face and *Si*-face attacks by different poses, corresponding to path **A–D**, were considered, as shown in Scheme 4. All transition

states (TSs) and intermediates involved a hydrogen-bonding interaction between the OH of **C5** and the oxygen atom of the *N*-Ts imine or ester group,²³ which is consistent with the experimental results that the OH group of the catalyst is crucial for enantiocontrol. For the attack orientation, the *Re*-face attack via **TS1A** and **TS1B** forms the same chiral intermediate (*R*)-**IIIA** or (*R*)-**IIIB**, and complex (*S*)-**3aA** or (*S*)-**3aB** would be produced through a Walden inversion S_N2 reaction. Similarly, complex (*R*)-**3aC** or (*R*)-**3aD** could be obtained through path **C** and **D** by *Si*-face attack. These transition states had been confirmed by their normal vibration mode corresponding to the imaginary frequency and the IRC analysis. At the M05-2X/6-311+G(2d,p)//6-31G(d) level using toluene as the solvent, the relative Gibbs free energy barriers of **TS1A**, **TS1B**, **TS1C**, and **TS1D**, whose computationally optimized structures were illustrated in Figure 5, were 18.5, 19.2, 32.7, and 23.1 kcal/mol, respectively (Figure 4). The higher energies of **TS1C** and **TS1D** may result from the steric repulsion, as the shortest distances between H atoms at different carbons in **TS1C** and **TS1D** (1.90 and 1.95 Å, respectively; Figure 5) were shorter than those of **TS1A** and **TS1B** (2.08 and 2.05 Å, respectively). The π - π interaction between the aryl ring and 1-azadiene was considered as another reason for the low energies of the *Re*-face attack. The dihedral angles between double bonds (C1–C2 and C3–N1) in **TS1A** and **TS1B** were -27.9 and -13.1 , respectively, which were smaller than those in **TS1C** and **TS1D**, -39.2 and -31.8 , respectively. Moreover, the more coplanar structures of **TS1A** and **TS1B** may be preferable for the conjugation of 1-azadiene

Scheme 4. Different Approaches of [4 + 1] Annulation Reaction to Produce the Corresponding Stereochemical Outcomes

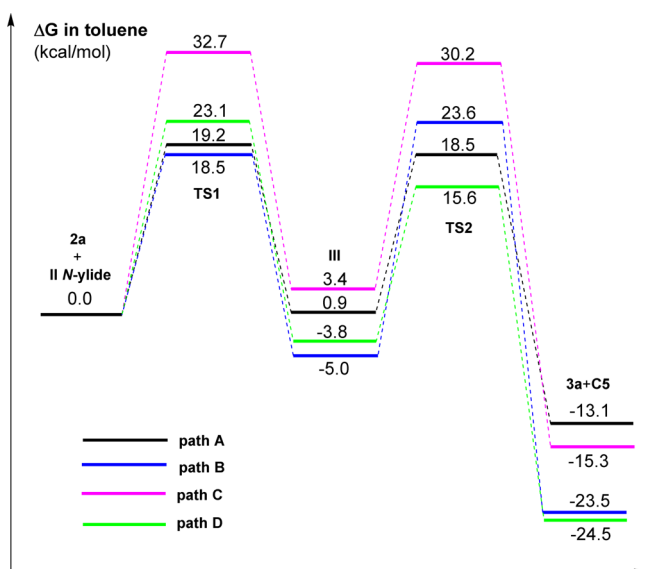
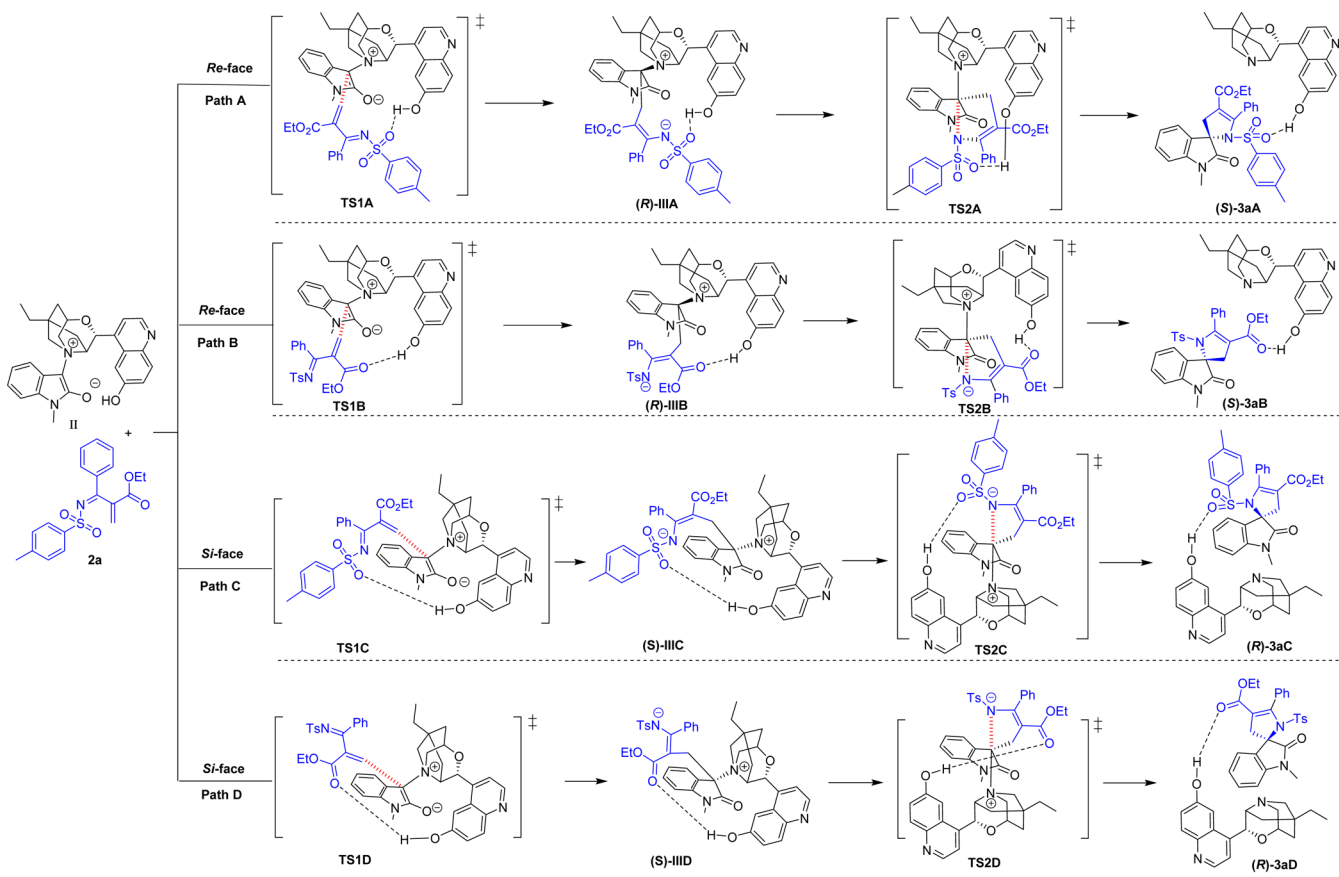


Figure 4. Computed potential energy surface for [4 + 1] annulation reaction.

to achieve the electronic transformation of the anion from the carbanion of ylide to the nitrogen of *N*-Ts imine (N1 atom) and formation of a double bond between C2–C3. These results indicated that TS1A and TS1B leading to the final product with (*S*)-configuration were the more favorable transition state structures.

After C–C formation by a carbanion attack, the resulted intermediates IIIA–D would further conduct a Walden inversion

S_N2 reaction to form the chirality-inversed five-membered ring. The transition states TS2A–D were depicted in Scheme 4. These TSs had also been confirmed by their normal vibration mode corresponding to the imaginary frequency and the IRC analysis, and the optimized structures were shown in Figure 6. As shown in Figure 4, TS2A and TS2D were considered as the more favorable transition states owing to their lower Gibbs free energy barriers, 17.6 and 19.4 kcal/mol, respectively, while those of TS1B and TS1C were higher, with 28.6 and 26.8 kcal/mol, respectively. As shown in Figure 6, the breaking of the C4–N2 bond and the formation of the new bond (C4–N1) occur simultaneously through TS2A–D, in which the carbon under nucleophilic attack is pentacoordinate and approximately sp^2 hybridized. In TS2A and TS2D, the nucleophile (N1) attacks the carbon at almost 180° (171.7° and 169.1° , respectively) to the leaving group (N2) with similar distances (about 2.2 Å), since this provides the best overlap between the lone pair of the nitrogen anion (N1) and the σ^* antibonding orbital of C4–N2. However, the TS2B and TS2C have longer C4–N1 and C4–N2 distances and smaller C4–N1 and C4–N2 bond angles, which results in less overlap between the lone pair and σ^* antibonding orbital. This explained the favorable TSs in this S_N2 reaction were TS2A and TS2D.

Therefore, the computational calculations clearly supported that the most favorable pathway of the α -IC C5-catalyzed [4 + 1] annulation reaction was path A, via two rate-limiting steps of TS1A and TS2A. The hydrogen-bonding interaction between the OH of α -IC C5 and the oxygen atom of *N*-Ts imine may play an important role in the enantioselective annulations.

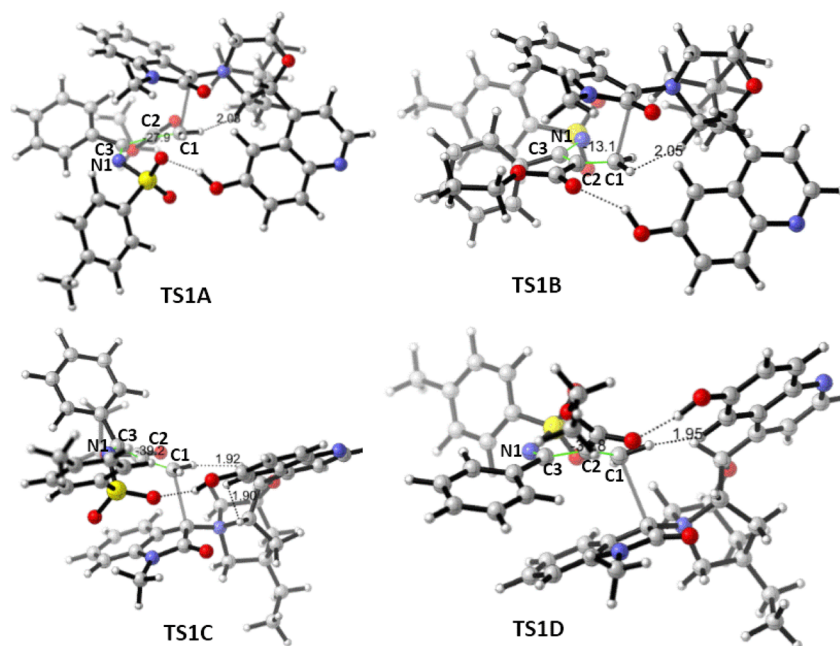


Figure 5. Optimized structures of TS1A–D. The bond distances of the optimized structures are in angstroms. The dihedral angle between double bonds, C1–C2 and C3–N1, was marked in green and labeled.

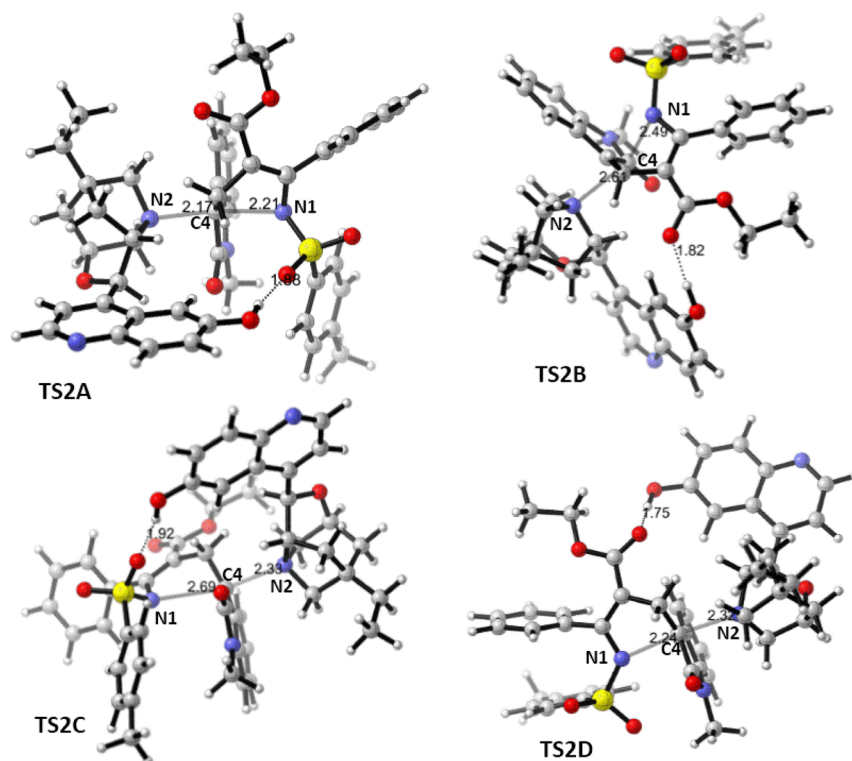


Figure 6. Optimized structures of TS2A–D. The bond distances of the optimized structures are in angstroms. The angles of N1–C4–N2 in TS2A–D were 171.7°, 167.3°, 157.1°, and 169.1°, respectively.

CONCLUSION

We have developed a highly enantioselective [4 + 1] annulation reaction of 3-bromooxindoles and 2-[aryl(*p*-tosylimino)methyl]-acrylate-type 1-azadienes to construct spirocyclic oxindoles incorporating a dihydropyrrole motif. This process employed a newly designed 2'-methyl α -isocupreine (α -MeIC) as the chiral tertiary amine catalyst and involved the asymmetric Michael-type addition of in situ generated ammonium ylides followed by an

intramolecular S_N2 substitution with chirality inversion. Mass spectroscopy studies verified the reaction cycle of ammonium ylide catalysis, and comprehensive computational calculations were conducted to elucidate the catalytic mechanism of enantiocontrol. To the best of our knowledge, this work represents the first example of asymmetric catalysis with challenging ammonium ylides bearing α -substitutions, and the [4 + 1] annulation pathway of ammonium ylides is also unprecedented, though currently the

substrate scope has some limitations and remains to be expanded. We believe that this study would arouse more research interest in the less-developed ammonium ylide catalysis in comparison with more fruitful phosphorus and sulfur ylide chemistry. More results will be reported in due course.

■ ASSOCIATED CONTENT

■ Supporting Information

Complete experimental procedures and characterization of new products, ^1H NMR and mass spectroscopy studies on ammonium ylide intermediates, more computational calculation studies, CIF file of enantiopure product **3a**, NMR spectra and HPLC chromatograms. The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.5b04792.

■ AUTHOR INFORMATION

Corresponding Authors

*liutianyu@tmmu.edu.cn

*ycchen@scu.edu.cn

Author Contributions

§P.-F.Z. and Q.O. contributed equally.

Notes

The authors declare no competing financial interest.

■ ACKNOWLEDGMENTS

We are grateful for the financial support from the NSFC (81202406 and 21472239) and Third Military Medical University (2012XZH06).

■ REFERENCES

- (1) For selected reviews, see: (a) Kitanosono, T.; Kobayashi, S. *Adv. Synth. Catal.* **2013**, *355*, 3095. (b) Sutar, R. L.; Joshi, N. N. *Tetrahedron: Asymmetry* **2013**, *24*, 1345. (c) Averill, D. J.; Allen, M. J. *Catal. Sci. Technol.* **2014**, *4*, 4129. (d) Shiina, I. *Chem. Record* **2014**, *14*, 144.
- (2) For selected reviews, see: (a) Mukherjee, S.; Yang, J. W.; Hoffmann, S.; List, B. *Chem. Rev.* **2007**, *107*, 5471. (b) Pihko, P. M.; Majander, I.; Erkkila, A. *Top. Curr. Chem.* **2010**, *291*, 29. (c) Ramachary, D. B.; Reddy, Y. V. *Eur. J. Org. Chem.* **2012**, *2012*, 865. (d) Jiang, H.; Albrecht, L.; Jørgensen, K. A. *Chem. Sci.* **2013**, *4*, 2287.
- (3) For selected reviews, see: (a) France, S.; Guerin, D. J.; Miller, S. J.; Lectka, T. *Chem. Rev.* **2003**, *103*, 2985. (b) Pitts, C. R.; Lectka, T. *Chem. Rev.* **2014**, *114*, 7930. (c) Morrill, L. C.; Smith, A. D. *Chem. Soc. Rev.* **2014**, *43*, 6214.
- (4) For a review, see: Douglas, J.; Churchill, G.; Smith, A. D. *Synthesis* **2012**, *44*, 2295.
- (5) For selected reviews, see: (a) Gongchun, L.; Liye, W.; You, H. *Chin. J. Org. Chem.* **2013**, *33*, 1900. (b) Sun, X.-L.; Tang, Y. *Acc. Chem. Res.* **2008**, *41*, 937. (c) Li, A.-H.; Dai, L.-X.; Aggarwal, V. K. *Chem. Rev.* **1997**, *97*, 2341.
- (6) For reviews, see: (a) Gaunt, M. J.; Johansson, C. C. C. *Chem. Rev.* **2007**, *107*, 5596. (b) Jiang, K.; Chen, Y.-C. *Tetrahedron Lett.* **2014**, *55*, 2049.
- (7) (a) Kinoshita, H.; Ihoriya, A.; Ju-ichi, M.; Kimachi, T. *Synlett* **2010**, *2010*, 2330. (b) Yadav, L. D. S.; Kapoor, R.; Garima. *Synlett* **2009**, *2009*, 3123.
- (8) (a) Papageorgiou, C. D.; Ley, S. V.; Gaunt, M. J. *Angew. Chem., Int. Ed.* **2003**, *42*, 828. (b) Bremeyer, N.; Smith, S. C.; Ley, S. V.; Gaunt, M. J. *Angew. Chem., Int. Ed.* **2004**, *43*, 2681. (c) Papageorgiou, C. D.; Cubillo de Dios, M. A.; Ley, S. V.; Gaunt, M. J. *Angew. Chem., Int. Ed.* **2004**, *43*, 4641. (d) Johansson, C. C. C.; Bremeyer, N.; Ley, S. V.; Owen, D. R.; Smith, S. C.; Gaunt, M. J. *Angew. Chem., Int. Ed.* **2006**, *45*, 6024.
- (9) Ferrary, T.; David, E.; Milanole, G.; Besset, T.; Jubault, P.; Pannecoucke, X. *Org. Lett.* **2013**, *15*, 5598.

(10) For selected examples of catalytic asymmetric [4 + 1] annulation reactions, see: (a) Zhou, J.-L.; Wang, L.-J.; Xu, H.; Sun, X.-L.; Tang, Y. *ACS Catal.* **2013**, *3*, 685. (b) Mazumder, S.; Crandell, D. W.; Lord, R. L.; Baik, M.-H. *J. Am. Chem. Soc.* **2014**, *136*, 9414. (c) Murakami, M.; Itami, K.; Ito, Y. *J. Am. Chem. Soc.* **1997**, *119*, 2950. (d) Chen, J.-R.; Dong, W.-R.; Candy, M.; Pan, F.-F.; Jörres, M.; Bolm, C. *J. Am. Chem. Soc.* **2012**, *134*, 6924. (e) Son, S.; Fu, G. C. *J. Am. Chem. Soc.* **2007**, *129*, 1046. (f) Zhang, X.-n.; Deng, H.-P.; Huang, L.; Wei, Y.; Shi, M. *Chem. Commun.* **2012**, *48*, 8664. (g) Hu, F.-L.; Wei, Y.; Shi, M. *Chem. Commun.* **2014**, *50*, 8912. (h) Zhang, X.-N.; Chen, G.-Q.; Tang, X.-Y.; Wei, Y.; Shi, M. *Angew. Chem., Int. Ed.* **2014**, *53*, 10768. (i) Han, X.; Yao, W.; Wang, T.; Tan, Y. R.; Yan, Z.; Kwiatkowski, J.; Lu, Y. *Angew. Chem., Int. Ed.* **2014**, *53*, 5643. (j) Ziegler, D. T.; Riesgo, L.; Ikeda, T.; Fujiwara, Y.; Fu, G. C. *Angew. Chem., Int. Ed.* **2014**, *53*, 13183. (k) Kramer, S.; Fu, G. C. *J. Am. Chem. Soc.* **2015**, *137*, 3803. (l) Shi, Z.; Tan, B.; Leong, W. W. Y.; Zeng, X.; Lu, M.; Zhong, G. *Org. Lett.* **2010**, *12*, 5402. With stoichiometric chiral sulfur ylides without α -substitutions, see: (m) Lu, L.-Q.; Zhang, J.-J.; Li, F.; Cheng, Y.; An, J.; Chen, J.-R.; Xiao, W.-J. *Angew. Chem., Int. Ed.* **2010**, *49*, 4495. (n) Yang, Q.-Q.; Wang, Q.; An, J.; Chen, J.-R.; Lu, L.-Q.; Xiao, W.-J. *Chem. - Eur. J.* **2013**, *19*, 8401.

(11) (a) Ashraf Ali, M.; Ismail, R.; Choon, T. S.; Kumar, R. S.; Osman, H.; Arumugam, N.; Almansour, A. I.; Elumalai, K.; Singh, A. *Bioorg. Med. Chem. Lett.* **2012**, *22*, 508. (b) Bhaskar, G.; Arun, Y.; Balachandran, C.; Saikumar, C.; Perumal, P. T. *Eur. J. Med. Chem.* **2012**, *51*, 79. (c) Girgis, A. S. *Eur. J. Med. Chem.* **2009**, *44*, 91. (d) Ivanenkov, Y. A.; Vasilevski, S. V.; Beloglazkina, E. K.; Kukushkin, M. E.; Machulkin, A. E.; Veselov, M. S.; Chufarova, N. V.; Chernyaginab, E. S.; Vanzcool, A. S.; Zyk, N. V.; Skvortsov, D. A.; Khutornenko, A. A.; Rusanov, A. L.; Tonevitsky, A. G.; Dontsova, O. A.; Majouga, A. G. *Bioorg. Med. Chem. Lett.* **2015**, *25*, 404. (e) Kumar, A.; Gupta, G.; Bishnoi, A. K.; Saxena, R.; Saini, K. S.; Konwar, R.; Kumar, S.; Dwivedi, A. *Bioorg. Med. Chem.* **2015**, *23*, 839. (f) Kumar, R. R.; Perumal, S.; Senthilkumar, P.; Yogeewari, P.; Sriram, D. *J. Med. Chem.* **2008**, *51*, 5731. (g) Tan, W.; Zhu, X.-T.; Zhang, S.; Xing, G.-J.; Zhu, R.-Y.; Shi, F. *RSC Adv.* **2013**, *3*, 10875. (h) Thangamani, A. *Eur. J. Med. Chem.* **2010**, *45*, 6120.

(12) (a) Yang, H.-B.; Wei, Y.; Shi, M. *Tetrahedron* **2013**, *69*, 4088. (b) Du, D.; Jiang, Y.; Xu, Q.; Shi, M. *Adv. Synth. Catal.* **2013**, *355*, 2249. (c) Liu, X.-L.; Han, W.-Y.; Zhang, X.-M.; Yuan, W.-C. *Org. Lett.* **2013**, *15*, 1246. (d) Cao, Y.-M.; Shen, F.-F.; Zhang, F.-T.; Wang, R. *Chem. - Eur. J.* **2013**, *19*, 1184. (e) Cui, B.-D.; Zuo, J.; Zhao, J.-Q.; Zhou, M.-Q.; Wu, Z.-J.; Zhang, X.-M.; Yuan, W.-C. *J. Org. Chem.* **2014**, *79*, 5305. (f) Lv, H.; Tiwari, B.; Mo, J.; Xing, C.; Chi, Y. R. *Org. Lett.* **2012**, *14*, 5412. (g) Chen, X.; Chen, H.; Ji, X.; Jiang, H.; Yao, Z.-J.; Liu, H. *Org. Lett.* **2013**, *15*, 1846. (h) Shi, F.; Tao, Z.-L.; Luo, S.-W.; Tu, S.-J.; Gong, L.-Z. *Chem. - Eur. J.* **2012**, *18*, 6885. (i) Wang, C.-S.; Zhu, R.-Y.; Zheng, J.; Shi, F.; Tu, S.-J. *J. Org. Chem.* **2015**, *80*, 512.

(13) For selected reviews of spirocyclic oxindoles, see: (a) Galliford, C. V.; Scheidt, K. A. *Angew. Chem., Int. Ed.* **2007**, *46*, 8748. (b) Ball-Jones, N. R.; Badillo, J. J.; Franz, A. K. *Org. Biomol. Chem.* **2012**, *10*, 5165. (c) Hong, L.; Wang, R. *Adv. Synth. Catal.* **2013**, *355*, 1023. (d) Cheng, D.; Ishihara, Y.; Tan, B.; Barbas, C. F., III *ACS Catal.* **2014**, *4*, 743.

(14) (a) Li, J.; Du, T.; Zhang, G.; Peng, Y. *Chem. Commun.* **2013**, *49*, 1330. (b) Hinman, R. L.; Bauman, C. P. *J. Org. Chem.* **1964**, *29*, 2431. (c) Ma, S.; Han, X.-Q.; Krishnan, S.; Virgil, S. C.; Stoltz, B. M. *Angew. Chem., Int. Ed.* **2009**, *48*, 8037.

(15) (a) Liu, H.; Zhang, Q.; Wang, L.; Tong, X. *Chem. - Eur. J.* **2010**, *16*, 1968. (b) Jiang, X.; Liu, L.; Zhang, P.; Zhong, Y.; Wang, R. *Angew. Chem., Int. Ed.* **2013**, *52*, 11329. (c) Stark, D. G.; O'Riordan, T. J. C.; Smith, A. D. *Org. Lett.* **2014**, *16*, 6496.

(16) Nakamoto, Y.; Urabe, F.; Takahashi, K.; Ishihara, J.; Hatakeyama, S. *Chem. - Eur. J.* **2013**, *19*, 12653.

(17) α -ICD and β -ICD provided the same enantiomers for a few substrates. For computational calculations involving the enantiocontrol of β -ICD, see the Supporting Information.

(18) (a) Lee, A.; Michrowska, A.; Sulzer-Mosse, S.; List, B. *Angew. Chem., Int. Ed.* **2011**, *50*, 1707. (b) Seiple, I. B.; Su, S.; Rodriguez, R. A.; Gianatassio, R.; Fujiwara, Y.; Sobel, A. L.; Baran, P. S. *J. Am. Chem. Soc.* **2010**, *132*, 13194.

(19) 3-Bromooxindole with an electron-withdrawing *N*-Ac group showed inert reactivity in the [4 + 1] reaction.

(20) (a) Boger, D. L.; Hong, J. *J. Am. Chem. Soc.* **1998**, *120*, 1218.

(b) Schnermann, M. J.; Boger, D. L. *J. Am. Chem. Soc.* **2005**, *127*, 15704.

(c) Han, B.; He, Z.-Q.; Li, J.-L.; Li, R.; Jiang, K.; Liu, T.-Y.; Chen, Y.-C. *Angew. Chem., Int. Ed.* **2009**, *48*, 5474. (d) Feng, X.; Zhou, Z.; Ma, C.; Yin, X.; Li, R.; Dong, L.; Chen, Y.-C. *Angew. Chem., Int. Ed.* **2013**, *52*, 14173.

(21) For selected examples of mass spectroscopy studies on the identification of key intermediates of Lewis basic tertiary amine-catalyzed reactions, see: (a) Regiani, T.; Santos, V. G.; Godoi, M. N.; Vaz, B. G.; Eberlin, M. N.; Coelho, F. *Chem. Commun.* **2011**, *47*, 6593.

(b) Liu, Y.-L.; Wang, X.; Zhao, Y.-L.; Zhu, F.; Zeng, X.-P.; Chen, L.; Wang, C.-H.; Zhao, X.-L.; Zhou, J. *Angew. Chem., Int. Ed.* **2013**, *52*, 13735. (c) Yao, Y.; Li, J.-L.; Zhou, Q.-Q.; Dong, L.; Chen, Y.-C. *Chem. - Eur. J.* **2013**, *19*, 9447. (d) Peng, J.; Huang, X.; Zheng, P.-F.; Chen, Y.-C. *Org. Lett.* **2013**, *15*, 5534.

(22) For dynamic mass spectroscopy studies on the C5-catalyzed reaction of **1a** and **2a**, see the Supporting Information.

(23) The theoretical study of ammonium ylide formation, enantioselective addition, and subsequent annulation reaction was calculated employing the M05-2x functional with the 6-31G(d) basis set using the GAUSSIAN 09 packages. The detailed description, references, and the relevant data were summarized in the Supporting Information.

(24) Aggarwal, V. K.; Harvey, J. N.; Richardson, J. *J. Am. Chem. Soc.* **2002**, *124*, 5747.